

## Tonality decides how much we can appreciate the music.

# Neural mechanisms of musical syntax and tonality, and the effect of musicianship

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### 21 **Abstract**

22 The neural basis for the processing of musical syntax has previously been examined almost  
23 exclusively in classical tonal music, which is characterized by strictly organized hierarchical  
24 structure. The present study investigated the neural mechanisms for processing musical syntax  
25 across genres varying in tonality - classical, impressionist, and atonal music - and, in addition,  
26 examined how musicianship modulates such processing. Results showed that, first, the dorsal  
27 stream, including bilateral inferior frontal gyrus and superior temporal gyrus, plays a key role in  
28 the perception of tonality. Second, right fronto-temporal regions were crucial in allowing  
29 musicians to outperform non-musicians in musical syntactic processing; musicians also benefit  
30 from a cortical-subcortical network including pallidum and cerebellum, suggesting more  
31 auditory-motor interaction in musicians than in non-musicians. Third, left pars triangularis  
32 carries out on-line computations independently of tonality and musicianship, whereas right pars  
33 triangularis is sensitive to tonality and partly dependent on musicianship. Finally, unlike tonal  
34 music, processing of atonal music could not be differentiated from that of scrambled notes, both  
35 behaviorally and neurally, even among musicians. The present study highlights the importance of  
36 studying varying music genres and experience levels, and provides a better understanding of  
37 musical syntax and tonality processing and how such processing is modulated by music  
38 experience.

### 39 **1 Introduction**

40 Throughout the history of humanity, music has been a key component in social and cultural  
41 interactions. How people communicate with music, namely how listeners perceive music syntax  
42 has been the subject of investigation in neuroscience. Some have suggested parallels between  
43 music processing and language processing. Currently, however, the neural mechanisms of tonal  
44 music perception are still uncertain. Some evidence has been provided by studies on Western  
45 classical music. The organization of pitches or chords in classical harmonic musical sequence  
46 tends to begin with the main tone or chord, and usually returns to the main tone or chord at the  
47 end. Other genres of music involve different structures, and may, thus, entail different processing  
48 mechanisms to classical music.

49 Animal studies have shown that, in marmosets, harmonic template neurons sensitive to spectral  
50 regularity of harmonic complex sounds are distributed across the primary auditory cortex and the  
51 neighboring primary-like rostral area (Feng & Wang, 2017). In humans, widely distributed  
52 frontal and temporal regions have been involved in the processing of classical music. Among  
53 these regions, the left inferior frontal gyrus (IFG) has been suggested to be the most important  
54 site offering computational resources for both linguistic and musical syntax (Patel, 2003, Patel et  
55 al., 2008; Kunert et al., 2015). Electrophysiological studies have suggested that patients with  
56 lesions in left IFG show abnormal musical syntax processing and impaired behavioral  
57 performance in the processing of irregular chord sequences, and that left IFG is the key region  
58 for the processing of syntax in a domain-general way (Sammler, Koelsch, & Friederici, 2011;  
59 Patel et al., 2008). Furthermore, music processing, like language processing, may also involve  
60 shared dorsal and ventral neural networks, underlying structure and meaning processing  
61 respectively (Koelsch & Siebel, 2005; Musso et al., 2015). The dorsal stream – including IFG,

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62 anterior superior temporal gyrus (STG) and ventrolateral premotor cortex (PMC) – processes  
63 harmonic relations and structural irregularities, predicts short-term upcoming harmonic  
64 sequences (Koelsch & Siebel, 2005), and is involved independently of the type of musical  
65 stimuli (Tillmann et al., 2006). The left IFG further connects to inferior parietal cortex and  
66 middle temporal lobe through dorsal and ventral long association tracts (Musso et al., 2015).

67 Although previous studies have provided a good basis for the understanding of music processing,  
68 so far almost all neuroscientific studies on music exclusively used Western classical music.  
69 Classical Western music is characterized by strictly organized hierarchical structure, which may  
70 not be the case across other music genres. It is important, therefore, to examine a variety of  
71 music genres to provide a complete and unbiased picture (see also Brattico et al., 2013). Let us  
72 take a closer look at two other music genres: impressionist music and atonal music.  
73 Representative compositions of impressionism are partial to the diatonic scale. Impressionist  
74 musicians such as Debussy divides an octave into six major second intervals of three kinds–  
75 major second, major third, and tritone (Day-O’Connell, 2009). Atonal music exploits a  
76 composition technique without the tonic center and functional relationship among notes or  
77 chords. For example, in “A Survivor of Warsaw”, a representative atonal piece written by  
78 Schoenberg, the twelve semitones are functionally equal, making it distinct from the major-  
79 minor system. Moreover, the size distribution of intervals in the scale of tonal music is generally  
80 between one and three half-tones, and the grading progress is the main composition of the  
81 melody lines.

82 | In short, the diatonic scale in impressionist music and the combination of 12 equal half-tones in  
83 atonal music both break the structural rules of classical music, either partially or completely. The  
84 asymmetry of the scale, the limitation of sound levels, and the size distribution of intervals  
85 within the scale are some important factors that differentiate tonal, impressionist, and atonal  
86 music in music theory. According to the literature on music processing, if the interval  
87 relationship to the tonal center (i.e. pitch-center relationship) disappears, the musical grammar  
88 would be disrupted and listeners could feel weary (Lerdahl & Jackendoff, 1983). If this is the  
89 case for atonal music, we should expect that the neural networks underlying the processing of the  
90 regularities of pitch relationships and structure-based prediction to also work differently.

91 | A further question is whether such neural activation is exclusively decided by the physical  
92 features of musical stimuli, which is identical for all listeners; or if it rather reflects how the  
93 music is perceived by individuals and, therefore, interacts with listeners’ music experience and  
94 preference. For example, for a non-trained listener, music may simply be a series of notes and  
95 beats, sometimes even a nuisance to the ear. For the romantic musician, in contrast, music can  
96 communicate just as well, or even better than language. In other words, training and experience  
97 matters. Previous findings have shown that the early right anterior negativity (ERAN) ERP  
98 component is sensitive to music training (Koelsch et al., 2002b). A recent study further showed  
99 that, in musicians, right IFG, as well as right posterior STG, superior temporal sulcus (STS), and  
100 cerebellum are involved in the processing of musical structures, with resting state activity in  
101 right IFG positively correlated with that in posterior STG and left Heschl's gyrus (Bianco et al.,  
102 2016). However, only musicians were tested in that study, so it remains unclear how music  
103 experience modulates music processing and whether this process interacts with tonality.

104 The present study aimed to investigate the neural mechanisms underlying the processing of

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105 musical syntax, as well as the impact of tonality and expertise on such processing. To achieve  
106 this purpose, we included music genres that varied in tonality. Specifically, extending from  
107 previous studies on classical tonal music, we also examined impressionist music (relatively  
108 decreased tonality) and atonal music (no tonality). A second aim of the present study was to  
109 investigate how musicianship modulates musical structure processing, and how it interacts with  
110 different music genres – that is, whether music experience affects brain networks underlying  
111 music tonal syntactic processing.

## 112 **2 Materials and Methods**

### 113 **2.1 Participants**

114 Thirty-six healthy native Chinese speakers with normal hearing, recruited from East China  
115 Normal University or Shanghai Conservatory of Music, took part in this study. All participants  
116 were right-handed, confirmed using Edinburgh Handedness Inventory (Oldfield, 1971). Written  
117 informed consent was obtained from each participant, and the protocol of the present study was  
118 approved by the Committee on Human Research Protection at East China Normal University. All  
119 participants were paid for their participation.

120 Musicianship was determined using Music Experience Questionnaire. Half of the participants  
121 ( $n=18$ ) were musicians ( $22.4 \pm 2.1$  years, 16 females) who majored in instrumental (17) or vocal  
122 (1) performance, and were immersed in a classical music environment for on average  $3.3 (\pm 2.4)$   
123 hours per day; five of them reported having absolute pitch. They had on average 13.0 years of  
124 formal music training ( $\pm 3.2$ , range 8 to 17 years), with an average age of onset of 5.5 years ( $\pm$   
125 1.3, range 3 to 8 years).

126 The other half of the participants ( $n=18$ ) were non-musicians ( $21.3 \pm 3.3$  years, 13 females), who  
127 reported no prior experience in music training except one with a one-year experience in learning  
128 accordion and two with limited experience of playing piano or keyboard at young ages (these  
129 three participants took part in the study given their limited music experience and no music  
130 training in the last ten years, but their data were excluded in further analysis).

### 131 **2.2 Materials**

132 There were three experimental conditions, that is, three genres of music – classical/tonal,  
133 impressionist/pantonal, atonal – and three control conditions – their respective scrambled  
134 versions. In order to inspect more global and salient violations of tonal syntax, we adopted a  
135 method used in Levitin and Menon (2003), in which scrambled versions of musical pieces were  
136 included as baseline conditions to disrupt the musical structure, in other words the overall  
137 relationship between adjacent notes.

138 Each of the three experimental conditions contained 40 phrases, selected from representative  
139 Western composers' masterpieces, as listed in Table 1. The phrases were reconstructed using  
140 Sibelius software to be synchronous, to have a similar number of notes ( $32 \pm 2$  notes), and similar  
141 intensity. Only the relative positions of the notes, or the internal organizational structure of the  
142 phrase, was preserved. By doing so, the low-level acoustic features such as tempo, loudness, and  
143 timbre were balanced across music genres and leave the music syntax intact. The mean duration

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144 of the phrases was 6.2 ( $\pm 0.4$ ) s. Scrambled versions were made by shuffling all of the notes  
145 within each of the original phrases, so that the relative pitch of adjacent notes was disrupted. The  
146 scrambled phrases were then rated by three professional musicians independently to ensure that  
147 the inner original organizational structures had been destroyed while the same notes were kept.  
148 To increase relative loudness of pitch in the noisy scanner environment, dynamic range  
149 compression was applied on all the pieces using the compressor effect of Audacity (Farbood,  
150 2015).

151 | In addition to these stimuli, a 250-Hz pure tone (660 ms duration) was used as probe stimulus.  
152 Five such trials were included, inserted evenly between other trials, within each scanning  
153 session/run, to ensure that participants were attending to the task.

### 154 **2.3 Procedure**

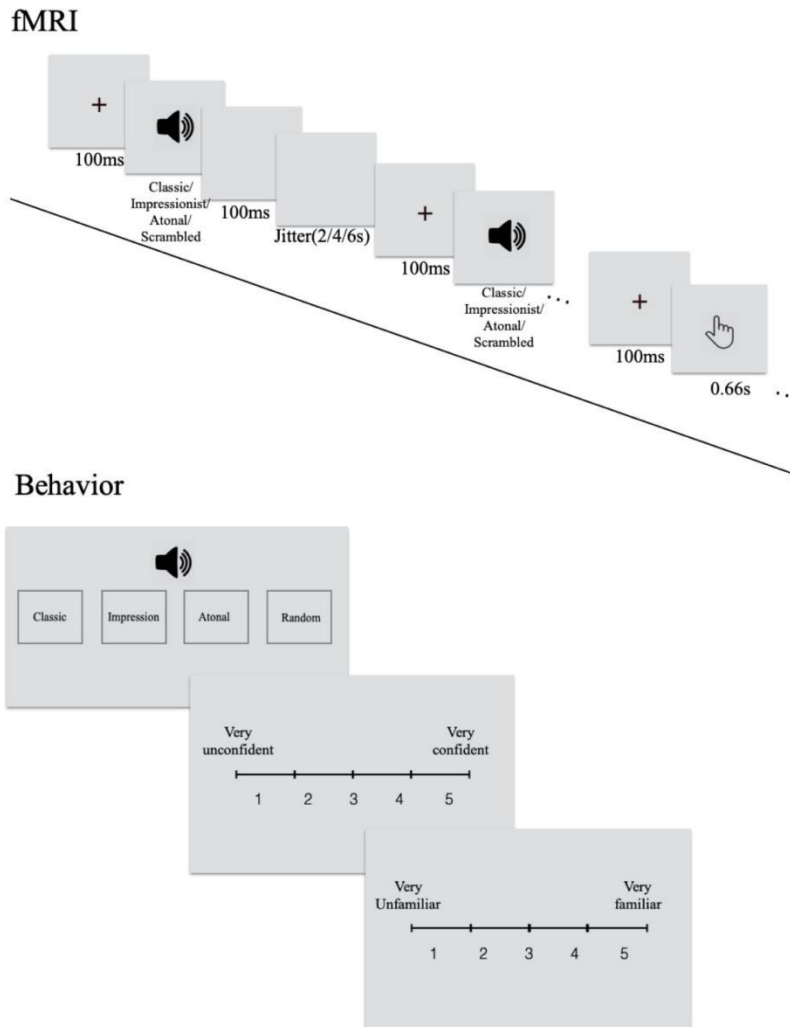
155 During fMRI scanning, participants were required to listen carefully to each phrase presented  
156 (they were not informed that some are original and others are scrambled), and to press a button  
157 with their right index finger when they hear the pure tone (which had been presented to them  
158 outside before scanning). The same task was performed twice in the scanner. Each session/run  
159 contained 125 trials: 20 trials for each of the six conditions plus five pure-tone probe-detection  
160 trials. Each session/run started with a fixation of 10 s, and then all trials were presented in a  
161 random order. Between each phrase, a 2-4-6 s blank interval was presented (see Figure 1A).  
162 Stimuli were presented using E-Prime 2.0 software.

163 After scanning, participants listened to all phrases again, classified each piece into four  
164 categories (classical/tonal, impressionist, atonal music, and random notes), rated the level of  
165 confidence in his/their decision (from 1 = least confident to 5 = most confident), and familiarity  
166 with the phrase (from 1 = least familiar to 5 = most familiar; see Figure 1B).

### 167 **2.4 Data Acquisition**

168 | Whole-brain images were collected on a 3T Siemens Trio MR scanner, with a 32- channel head  
169 coil. First, an anatomical image was obtained using a T1-weighted MPRAGE sequence (TR =  
170 2530 ms, TE = 2.34 ms, image matrix = 256 \* 256, FoV = 256 mm, flip angle = 7°, voxel size =  
171 1\*1\*1mm, 192 slices). Functional MRI images were acquired using a T2\*-weighted gradient-  
172 echo EPI sequence covering the whole brain (TR= 2400 ms, TE = 30 ms, image matrix = 64\*64,  
173 FoV = 192 mm, flip angle = 81°, voxel size = 3\*3\*3mm, slice thickness = 3mm, 40 slices,  
174 interleaved acquisition). Stabilization cushions were used to minimize head motion, and ear  
175 plugs were worn by participants to reduce noise from the scanner during operation. Auditory  
176 stimuli were presented using RT-300 (Resonance Technology, Canada). Behavioral data were  
177 collected outside the MRI environment after scanning.

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178 **Figure 1.** Experimental procedure for (A) fMRI and (B) behavioral tasks.

### 179 **2.5 Behavioral Data Analysis**

180 Two-way mixed design ANOVA with Tukey's HSD comparison tests were performed separately  
181 for the genre classification, confidence rating, and familiarity rating, with group (musician, non-  
182 musician) and musical syntax (classical, impressionist, atonal, random notes) as independent  
183 factors. Data from two musicians were excluded because their accuracy for "random notes" were  
184 outliers (0% and 2.5%). Note that for each participant and each genre, familiarity score was  
185 calculated based on ratings for all phrases, and confidence score only took into account the  
186 correctly classified trials.

### 187 **2.6 Functional Imaging Data Analysis**

188 Functional MRI data preprocessing and statistical analysis was carried out using SPM8  
189 ([www.fil.ion.ucl.ac.uk/spm](http://www.fil.ion.ucl.ac.uk/spm)). After slice-timing correction, the functional images were realigned  
190 for headmotion correction. The functional and co-registered anatomical images were spatially  
191 normalized to MNI space, and then smoothed using a Gaussian kernel with full width at half



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192 maximum (FWHM) of 5 mm. Head movements were checked for each subject using Artifact  
193 Detection Tools (ART; [www.nitrc.org/projects/artifact\\_detect](http://www.nitrc.org/projects/artifact_detect)) package. Time points  
194 (scans/volumes) with motion outliers ( $\geq 2$  mm) or outliers in global signal intensity ( $\geq 5$  SD) were  
195 recorded for nine participants.

196 Data from each participant were then analyzed using a general linear model (GLM), with three  
197 music genre conditions (classical, impressionist, and atonal), three scrambled conditions, and the  
198 probe condition. Head movement parameters were included for each participant as regressors,  
199 and the above mentioned time points with motion or intensity outliers were omitted by including  
200 a single regressor for each in GLM. Familiarity scores from participants' behavioral ratings were  
201 included as parametric modulators for each condition to dissociate familiarity effects from main  
202 effects.

203 We first examined whether there were significant differences between any two scrambled  
204 conditions (out of the three scrambled conditions) using a 2 (group) \* 3 (condition) flexible  
205 factorial model at the group level. Given no significant main effect or interaction was found for  
206 the scrambled conditions, the three scrambled conditions were combined into one, referred to as  
207 the random notes condition (matching the music genre classification in behavioral analysis). A 2  
208 (group) \* 4 (musical syntax: classical, impressionist, atonal, random notes) flexible factorial  
209 model was used in further analysis.

210 Given previous discoveries on the functional role of bilateral IFG in music processing, bilateral  
211 IFG (pars triangularis and pars opercularis) anatomical ROIs were selected from MarsBaR AAL  
212 ROIs. Percent signal change relative to global brain signal was computed using MarsBar, to  
213 further investigate how the brain reacted to different music genres in musicians and non-  
214 musicians.

215 To further derive the synchronous function of cortical regions that processed different music  
216 genres in musicians and non-musicians separately, informational connectivity analysis  
217 (Coutanche & Thompson-Schill, 2013) was conducted. The whole brain was segmented into 116  
218 regions of interest (ROIs) based on Automated Anatomical Labeling 116 (AAL116) template  
219 (Tzourio-Mazoyer et al., 2002; Schmahmann et al., 1999). Four ROIs were excluded in further  
220 analysis because they have not been fully covered in certain participants while scanning. For  
221 each ROI, a representational dissimilarity matrix (RDM) of all 240 musical trials was computed  
222 based on  $\beta$  values extracted from all voxels for each participant. Then, for each ROI pair, the  
223 correlation coefficient was calculated between the two RDMs of the ROI pair and then  
224 transformed to fisher's z values indicating representational similarity of general musical  
225 sentences processing between brain regions. After that, the correlation analysis was then  
226 performed separately for musicians and non-musicians to investigate the relationship between  
227 the z values of each region pair and the behavioral overall genre classification accuracy  
228 (representing each participant's general musical genre sensitivity). Informational connectivity  
229 analysis allows us to inspect the highly stimuli-dependence neural processing between brain  
230 regions, which offers a higher-order explanation than univariate analysis.

### 231 **3 Results**

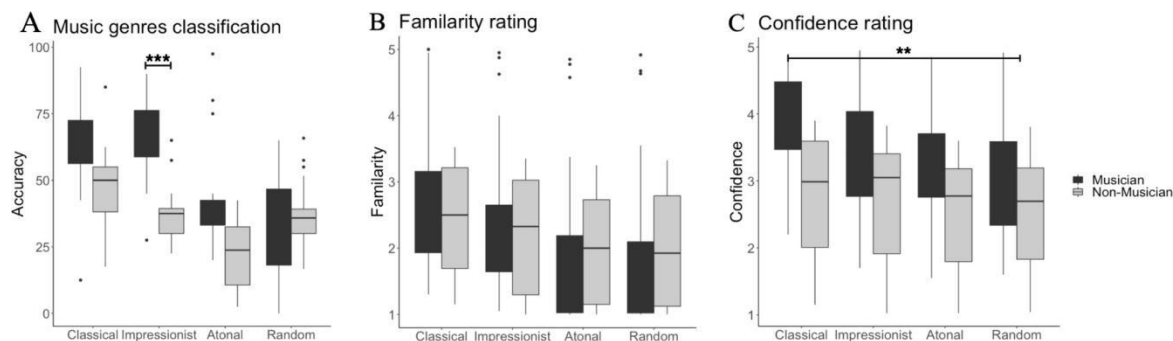
#### 232 **3.1 Behavioral Results**

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233 ANOVA on classification accuracy showed a main effect of group,  $F(1,136)=25.058$ ,  $p<0.001$ , a  
234 main effect of musical syntax  $F(3,136)=19.135$ ,  $p<0.001$ , and an interaction between group and  
235 musical syntax ( $F(3,136)=4.837$ ,  $p<0.01$ ). Tukey's HSD post-hoc test indicated that classical  
236 music and impressionist music were easier to identify than atonal music (HSD =24.118,  $p<0.001$ ,  
237 HSD =20.881,  $p<0.001$ , respectively classical and impressionist) and random notes (HSD  
238 =15.662,  $p<0.001$ , HSD =12.425,  $p<0.01$ , respectively for classical and impressionist). The  
239 musician group classified classical and impressionist music better than atonal music (HSD  
240 =23.594,  $p<0.001$ , HSD=28.292,  $p<0.001$ , respectively for classical and impressionist) and  
241 random notes (HSD=22.552,  $p<0.001$ , HSD=27.25  $p<0.001$ , respectively for classical and  
242 impressionist). The non-musician group was found to have better knowledge only of classical  
243 compared to atonal genre (HSD=24.583,  $p<0.001$ ). Within music genres, a significant group  
244 difference was only found for impressionist music classification, with musicians outperforming  
245 non-musicians (HSD=28.083,  $p <0.001$ ; see Figure 2A).

246 For familiarity ratings, ANOVA showed only a significant main effect of musical syntax,  
247  $F(3,140)=21.91$ ,  $p <0.001$ . Post-hoc tests showed that classical musical phrases were rated as  
248 significantly more familiar than atonal musical phrases (HSD=0.56,  $p<0.05$ ), and significantly  
249 more familiar than random notes (HSD=0.638,  $p <0.01$ ; see Figure 2B).

250 For confidence ratings, ANOVA showed significant main effects of groups,  $F(3,142)=9.079$ ,  
251  $p<0.01$ , and of musical syntax,  $F(3,140)=23.657$ ,  $p<0.001$ . Musicians were overall more  
252 confident than non-musicians in their genre classifications (HSD=0.896,  $p<0.001$ ). Confidence  
253 was significantly higher when classifying classical music comparing to atonal music  
254 (HSD=0.727,  $p<0.01$ ) and random notes (HSD=0.676,  $p<0.01$ ; see Figure 2C).



255 **Figure 2.** Behavioral results for musicians and non-musicians for (A) percentage correct genre  
256 classification, (B) familiarity ratings (1, least familiar~5, most familiar) in musicians and non-  
257 musicians, and (C) confidence ratings (1, least confident~5, most confident).

### 258 3.2 Functional Imaging Results

259 The group-level factorial analysis showed a significant interaction between group and musical



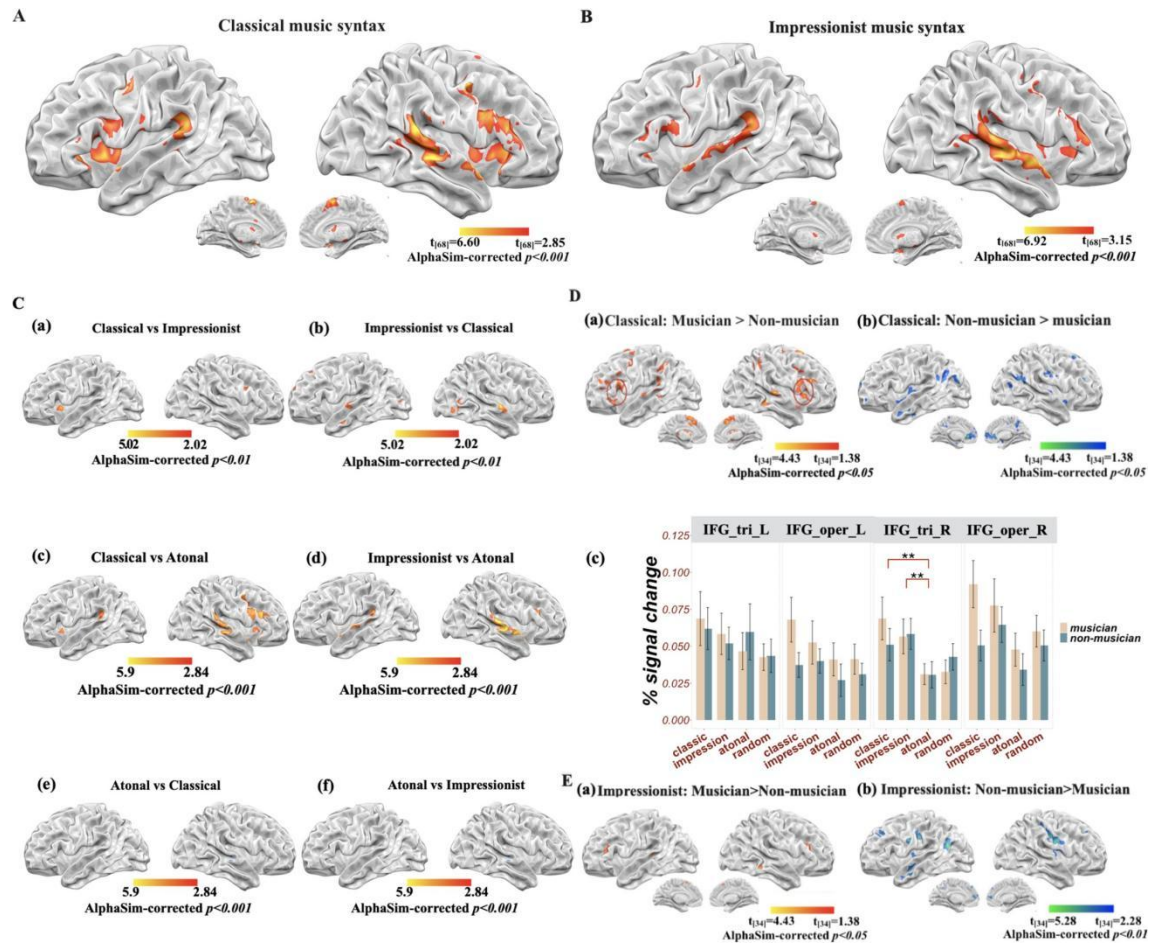
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260 syntax, which involved activation in right postcentral areas, left supplementary motor area  
261 (SMA), left middle temporal gyrus (MTG), left hippocampus, and bilateral superior frontal gyrus  
262 (SFG). The main effect of musical syntax was observed in bilateral superior temporal regions,  
263 bilateral IFG pars triangularis extending to left insula, bilateral superior medial frontal areas,  
264 bilateral precentral gyrus, right SFG, right middle frontal gyrus (MFG), left angular gyrus, right  
265 supramarginal gyrus, left SMA, and bilateral cerebellum. The main effect of group was observed  
266 in bilateral cerebellum, bilateral precentral gyrus, right SFG, right superior temporal pole,  
267 bilateral inferior temporal gyrus, left amygdala, right STG, and bilateral IFG pars opercularis (all  
268  $p$ 's < 0.001, alphasim corrected; see Table 2).

269 Overall, classical/tonal music (compared to random notes) involved significant activation in  
270 bilateral STG, left inferior frontal regions (including pars triangularis, pars opercularis, and pars  
271 orbitalis), right inferior frontal regions (including pars opercularis and insula), bilateral  
272 precentral gyrus, bilateral SMA, and bilateral cerebellum. Impressionist music (compared to  
273 random notes) involved significant activation in bilateral STG, right superior temporal pole, right  
274 MTG, left IFG pars opercularis and pars triangularis, right IFG pars triangularis, left  
275 supramarginal gyrus, right hippocampus, right precentral gyrus, right SMA, and left cerebellum.  
276 When contrasting classical over impressionist music processing, classical condition involved  
277 greater activation in right IFG pars opercularis and left insula compared to impressionist; the  
278 reverse contrast involved more right IFG pars triangularis, right precentral gyrus, bilateral STG,  
279 bilateral superior temporal pole, and left SMA. When comparing to atonal music, classical music  
280 showed more activation in bilateral STG and MTG, right IFG pars triangularis and pars  
281 opercularis, left IFG pars opercularis and insula, bilateral precentral gyrus, bilateral SMA, and  
282 bilateral cerebellum; impressionist music showed more activation in bilateral STG and MTG, left  
283 IFG pars triangularis and pars orbitalis, right IFG pars triangularis, bilateral SMA, bilateral  
284 putamen, and bilateral cerebellum. Atonal music involved more activation in bilateral MTG than  
285 classical music, with no areas showing greater activation compared to impressionist music (all  
286  $p$ 's < 0.001, alphasim corrected; see Figure 3A-C).

287 Simple effects were further analyzed using t-tests to investigate how the processing of musical  
288 structure was modulated by musicianship. For classical music processing, musicians showed  
289 greater activation in right STG, right IFG pars triangularis, right superior medial frontal gyrus,  
290 right inferior parietal gyrus, and bilateral SMA, whereas bilateral anterior cingulate cortex (ACC)  
291 were more activated in non-musicians (all  $p$ 's < 0.001, alphasim corrected; see Table 2).

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292 **Figure 3.** Brain activation for musical syntax processing (all results alphasim corrected at  
 293  $p < 0.001$ , unless otherwise stated). (A) Classical music compared to random notes; (B)  
 294 impressionist music compared to random notes; (C) Comparisons among musical genres: a)  
 295 classical compared to impressionist music (alphasim corrected at  $p < 0.01$  for illustration); b)  
 296 impressionist compared to classical music (alphasim corrected at  $p < 0.01$  for illustration); c)  
 297 classical compared to atonal music; d) impressionist compared to atonal music; e) atonal  
 298 compared to classical music; f) atonal compared to impressionist music; (D) difference between  
 299 groups for classical music: a) musicians compared to non-musicians; b) non-musicians compared  
 300 to musicians; c) percent signal change in left and right IFG; (E) differences between groups for  
 301 impressionist music: a) musicians compared to non-musicians; b) non-musicians compared to  
 302 musicians.

303 When processing impressionist music, musicians showed more activation in left cerebellum  
 304 (Vermis 9) compared to non-musicians; non-musicians showed more activation in bilateral  
 305 hippocampal gyrus, bilateral postcentral gyrus, MTG, SFG, insula, precuneus, and middle  
 306 occipital lobe in the left hemisphere (all  $p$ 's  $< 0.001$ , alphasim corrected; see Table 2).

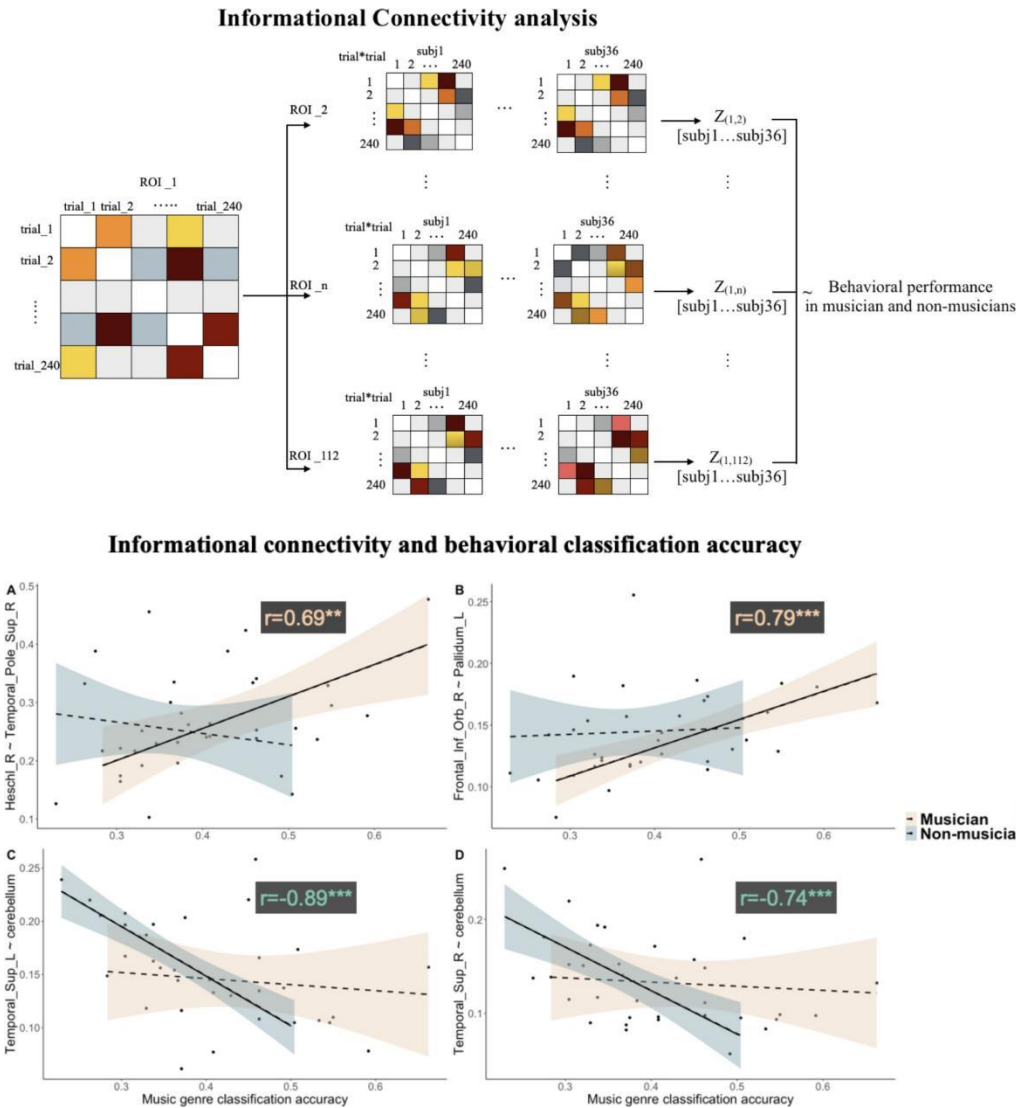
307 Lastly, for atonal music, no significant differences were found between musicians and non-  
 308 musicians ( $p$ 's  $< 0.001$ , alphasim corrected).

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309 For the ROI analysis on bilateral IFG (see Figure 3D(c)), left pars triangularis showed no  
310 significant effects of music genre ( $F_{(3)} = 0.842, p = 0.472$ ) or group ( $F_{(1)} = 0.000, p = 0.984$ ), or  
311 their interaction ( $F_{(1,3)} = 0.218, p = 0.884$ ). A significant musical syntax main effect ( $F_{(1,3)} =$   
312  $0.048, p < 0.01$ ) was found for right pars triangularis, specifically, both classical ( $t = 2.76, p <$   
313  $0.01, 95\% \text{ CI} = [0.0112, 0.0222]$ ) and impressionist music ( $t = 3.28, p < 0.01, 95\% \text{ CI} =$   
314  $[0.0683, 0.0745]$ ) have greater signal change than atonal music. For both left and right pars  
315 opercularis, there were significant group differences (left:  $F_{(1,3)} = 4.61, p < 0.05$ ; right:  $F_{(1,3)} =$   
316  $4.65, p < 0.05$ ), with percent signal change in musicians greater than in non-musicians (left:  $t =$   
317  $2.15, p < 0.05, 95\% \text{ CI} = [0.0014, 0.0322]$ ; right:  $t = 2.18, p < 0.05, 95\% \text{ CI} = [0.0553, 0.0459]$ ).

318 Informational connectivity between right Heschl's gyrus and right superior temporal pole was  
319 positively correlated with behavioral classification accuracy in musicians ( $r = 0.69, \text{FDR}$   
320  $\text{corrected at } q = 0.005$ ); informational connectivity between right IFG pars orbitalis and left  
321 pallidum was also positively correlated with behavioral classification accuracy in musicians ( $r =$   
322  $0.79, \text{FDR corrected at } q = 0.005$ ). Informational connectivity between cerebellum (cerebellar  
323 vermis 7, VER7) and both left and right STG was negatively correlated with behavioral accuracy  
324 in non-musicians (left STG:  $r = -0.89, q = 0.0001$ ; right STG:  $r = -0.74, q = 0.0001$ ) (see Figure  
325 4).

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326 **Figure 4.** Upper panel: illustration for computing informational connectivities between ROIs for  
 327 all the participants. Lower panel: correlations between informational connectivities and  
 328 behavioral classification accuracy in musicians and non-musicians, for (A) connectivity between  
 329 right Heschl's gyrus and right superior temporal pole, (B) connectivity between right IFG pars  
 330 orbitalis and left pallidum, (C) connectivity between the left superior temporal gyrus and  
 331 cerebellum, and (D) connectivity between right superior temporal gyrus and cerebellum.

### 332 4 Discussion

333 The present study investigated the neural mechanisms underlying tonality and musical syntax  
 334 processing, as well as the role of music training on such processing. Musicians and non-  
 335 musicians listened to phrases from classical, impressionist, and atonal music genres inside an  
 336 MRI scanner, and performed a classification task outside the scanner. The results elucidated the  
 337 on-line processing mechanisms of musical syntax across different genres, and showed how  
 338 musicianship impacted the neural response to different musical syntax.

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### 339 4.1 Musical syntax, tonality, and musicianship

340 For overall processing of hierarchical structure in music, neural response was observed in  
341 bilateral temporal lobes, IFG, postcentral gyrus, and cerebellum. This finding indicates the  
342 engagement of the dorsal stream in decoding musical syntax, where auditory information is  
343 transformed to motor actions, and that this engagement is stronger among musicians than non-  
344 musicians in the presence of tonality, as discussed later.

345 For Western classical music perception, musicians and non-musicians achieved equally high  
346 accuracy in behavioral classification, but with a higher confidence rating in musicians,  
347 suggesting that musicians took advantage of their expertise to analyze the musical notes.  
348 Bilateral anterior superior temporal areas, bilateral left inferior frontal regions extending to  
349 bilateral precentral gyrus, insula, SMA, and cerebellum were engaged in the processing of tonal  
350 music, in line with previous studies (Koelsch et al., 2002a, 2013; Tillmann et al. 2006, Sammler  
351 et al., 2013, Farbood et al., 2015). However, whereas previous studies suggested that people  
352 perceive musical syntax implicitly, regardless of music training (Koelsch et al., 2000, Bigand et  
353 al., 2006), our results showed that music experience modulated neural activation in classical  
354 tonal music processing, though non-musicians and musicians performed equally well in  
355 behavioral classifications. Specifically, differences between musicians and non-musicians in  
356 neural activation were observed in a right-lateralized front-parieto-temporal network, covering  
357 right STG, right IFG pars triangularis and superior medial frontal gyrus, right inferior parietal  
358 gyrus, and bilateral SMA. Together with previous studies showing the role of right IFG in  
359 musical syntax processing (Cheung et al., 2018) and structural brain changes in right fronto-  
360 temporal regions linked to music training (Sato, Kirino, & Tanaka, 2015; James et al., 2014), the  
361 present findings suggest that the left fronto-temporal neural network plays an important role in  
362 musical syntactic processing in a domain-general and experience-independent way, and that the  
363 right fronto-temporal cortical areas contribute to musical syntactic processing in a musicianship-  
364 modulated way.

365 For impressionist music, musicians showed significantly higher accuracy in behavioral  
366 classification, as well as stronger activation in left cerebellum than non-musicians. A closer look  
367 at the neural basis among musicians and non-musicians showed that bilateral STG and bilateral  
368 IFG pars triangularis were engaged in both groups, whereas right IFG was significantly recruited  
369 only among musicians. These results suggest that the minor disruption of tonality rules in  
370 impressionist music could weaken the functions of the left IFG in resolving musical syntax. The  
371 right IFG, on the other hand, still played an important role in musical syntax processing,  
372 particularly with music training. Together with the results of classical music processing, these  
373 results indicate that music experience has an impact on the neural response to syntactic  
374 processing of tonal music – both classical tonal and impressionist (reduced tonality).

375 For atonal music, there were no differences between musicians and non-musicians in either  
376 neural activation or behavioral classification performance. Furthermore, atonal music could not  
377 be differentiated from random notes, either neurally or behaviorally, even among musicians. This  
378 is likely due to a lack of pitch-center relationship in atonal music, leading to an absence of  
379 structural information processing. Given that previous studies on atonal music suggested that



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380 familiarity has some effects on induced emotional responses to atonal music (Daynes, 2000), or  
381 that listeners can learn to detect or expect the avoidance of pitch repetition (Krumhansl, Sandell  
382 & Sergeant, 1987; Ockelford & Sergeant, 2012), it would be of interest for future studies to  
383 investigate whether atonal music is processed differently among musicians with more varied  
384 experiences and those with expertise in atonal music, such as the composers and conductors who  
385 have developed a positive taste for atonal music.

### 386 **4.2 Cortical and subcortical neural networks for musical syntax processing**

387 The IFG has been deemed to be a storage buffer required to process sequences with supra-  
388 regular structure (Fitch and Martins, 2014). Within the IFG, left pars triangularis, a part of  
389 Broca's area, has been suggested to be involved in domain-general processing, playing a crucial  
390 role in sequence regularities, and particularly being the site of a buffer zone for syntactic  
391 computations (Sammler et al., 2011; Fitch and Martins, 2014). Previous studies have further put  
392 forward a shared resource system for domains of both language and music, seated in Broca's area  
393 (Patel, 2003; Fedorenko et al., 2009). The role of right IFG is less clear, though some studies  
394 have suggested that the right inferior frontal area is crucial for processing specific musical syntax  
395 (Maess et al., 2001), or is sensitive to music training (Oechslin et al., 2013; Koelsch et al.,  
396 2002b). In the present study, the left pars triangularis was engaged in the syntactic processing of  
397 classical music equally for musicians and non-musicians. The right pars triangularis and pars  
398 opercularis, on the other hand, were involved to a greater extent among musicians compared to  
399 non-musicians in the syntactic processing of both classical and impressionist music. Percent  
400 signal change of different subregions of bilateral IFG further showed that right pars triangularis  
401 was sensitive to tonal differences, and that both left and right pars opercularis were sensitive to  
402 music experience differences. We therefore suggest a more precise division of labor of bilateral  
403 IFG regions in music processing: the left IFG pars triangularis carries out on-line unit  
404 relationship computations independently of music genre and music experience; the right IFG  
405 pars triangularis detects tonality and adjusts to tonal varieties, partly dependently of music  
406 experience; both left and right pars opercularis are modulated by music experience, with the right  
407 pars opercularis more dominantly so.

408 We also found an involvement of right anterior temporal regions, together with right frontal  
409 regions, in musical syntactic processing, especially among musicians. Furthermore,  
410 informational connectivity results revealed that higher behavioral classification accuracy among  
411 musicians was accompanied by stronger functional cooperation between right Heschl's gyrus  
412 and right superior temporal pole. According to previous findings, temporal resolution is better in  
413 left auditory cortices, whereas spectral resolution is better in right auditory cortices (Zatorre et al.,  
414 2002). Therefore, our results suggest that right temporal regions are more engaged in musicians  
415 to achieve better performance in detecting precise changes in frequency. Together with  
416 abovementioned results on frontal regions, the present findings suggest that a right fronto-  
417 temporal network is crucial in allowing musicians to outperform non-musicians in musical  
418 syntactic processing.

419 The neural processing of musical syntax engages not only cortical structures but also subcortical  
420 structures, such as basal ganglia, which has been found to be activated in the processing of



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421 musical beats and music-related emotions (Frisch et al., 2003; Kung et al., 2013). In the present  
422 study, neural recruitment of pallidum and the cerebellum was found for processing tonal music  
423 in musicians. Results of the informational connectivity analysis showed that strong connectivity  
424 between the right IFG and left pallidum was positively correlated with music classification  
425 performance in musicians. Given that the sensorimotor territory of the globus pallidus internus is  
426 known to be the main output of basal ganglia, and that basal ganglia plays an important role in  
427 the storage and expression of learned sequential skills (Hikosaka et al., 2002; Doyan et al., 2009),  
428 the current finding of pallidum activation and its connection with right IFG is especially  
429 interesting. Furthermore, both globus pallidus and cerebellum are the most effective sites for  
430 deep brain stimulation (DBS) in reducing motor impairments (Tewari, Fremont, & Khodakhah,  
431 2017) and a recent study suggested that the basal ganglia and the cerebellum are interconnected  
432 at the subcortical level (Bostan & Strick, 2019). Therefore, our findings suggest that this cortico-  
433 subcortical network facilitates the perception of musical sequences, especially for the musicians,  
434 given their intensive training in music performance.

435 The left cerebellum was also found to be significantly more engaged in musicians compared to  
436 non-musicians in the processing of impressionist music. Among non-musicians, connectivity  
437 between the cerebellum and bilateral STG was negatively correlated with classification  
438 performance. A previous study has suggested that experience-dependent changes in cerebellum  
439 could contribute to motor sequence learning (Doyan et al., 2002), given that the motor network is  
440 important for production and perception of music (Schubotz et al. 2000), our results for the  
441 musicians suggest that the engagement of cerebellum facilitates motor sequence and musical  
442 sequence perception in turn. Further studies are needed to clarify the role of cerebellum-STG  
443 connectivity in music processing among non-musicians.

444 A cortico-subcortical network involving the putamen, SMA, and PMC has been proposed to be  
445 engaged in the analysis of temporal sequences and in auditory–motor interactions (Grahn &  
446 Rowe, 2009). The present study verified the engagement of these proposed regions, and in  
447 addition allowed us to have a more refined understanding of the functions of different regions.  
448 Furthermore, this cortical-subcortical connectivity is shown to be functionally correlated with  
449 behavioral performance in music genre classification and neural musical syntax processing  
450 among musicians.

### 451 **4.3 Appreciation of tonality in music from a scientific perspective**

452 Western classical (tonal) music has been widely appreciated due to its consonance and stability.  
453 In the present study, musicians showed stronger and more widespread neural responses to  
454 classical music compared to non-musicians. Non-musicians, though with relatively less  
455 activation than musicians, still showed stronger neural responses to classical music than to  
456 impressionist or atonal music. The higher accuracy in classifying classical musical phrases  
457 among non-musicians can be seen as evidence of implicit knowledge of musical structure even  
458 among those with minimal musical expertise. Furthermore, as described by Tonal Pitch Space  
459 (TPS) theory (Lerdahl, 1988), tension and relaxation of chords unfolding over time in classical  
460 music provide listeners with a musical context in which to generate reliable expectations.

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461 Impressionist music, on the other hand, is well-known for feelings of ambiguity and intangibility,  
462 like impressionist paintings. This music genre places the listener in a reduced tonality context,  
463 which causes difficulty in integrating harmonics. In our study, although impressionist music and  
464 classical music both engaged similar fronto-temporal regions, they each involved specific  
465 regions as well. Furthermore, the differences between musicians and non-musicians in both  
466 behavioral and neural responses suggest that the processing of impressionist music especially  
467 involved frontal regions of the right hemisphere, and that impressionist music processing  
468 benefited from musicianship more so than classical music processing.

469 Lastly, the atonal genre stands opposite to tonality. Its disordered structure and unexpected  
470 musical context may well be perceived as scrambled pieces, resulting in poor performance in  
471 differentiating atonal phrases from random notes, and in a lack of significant differences in  
472 neural responses between atonal phrases and random notes, regardless of the level of music  
473 experience. There are only a few studies on tonality in neuroscience. Among them, Proverbio et  
474 al. (2015) suggested that atonal music decreased non-musicians' heart rates and increased their  
475 blood pressure, possibly reflecting an increase in alertness and attention, and thus appeared to be  
476 perceived as being more agitating and less joyful than tonal music. The present study provides  
477 complementary results regarding the absence of "syntactic" processing in atonal music  
478 perception, and questions the "meaning" of atonal music.

479 Overall, by studying varying music genres and corresponding aesthetic experiences, findings in  
480 the present study allow us to gain a better understanding of neural mechanisms underlying  
481 musical syntax processing, namely how it varies across levels of tonality, and how it is  
482 modulated (or not) by music experience, and also lend strong support to music theory.

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### 490 7 Conflict of Interest

491 The authors declare that the research was conducted in the absence of any commercial or  
492 financial relationships that could be construed as a potential conflict of interest.

### 493 8 Data Availability Statement

494 The raw datasets for this study can be found in the OSF repository <https://osf.io/4fejw/>.

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598 **Table 1.** List of sources for the three genres of musical materials.

Genre	Composer	Catalogue	Number of phrases
Classical	Bach	The Well-Tempered Clavier	20
		BMV1043	
	Brahms	Hungarian Dances	20
		Symphony No.4	
Impressionist	Debussy	Estampes, Images, La Mer	20
		Prélude à l'après-midi d'un faune	
	Ravel	Miroirs, Gaspard de la nuit	20
		Ma mère l'Oye	



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Atonal	Schoenberg	The Book of the Hanging Gardens	20
		String Quartets No. 3, Piano Suite	
	Webern	String Quartet, Variations	20

599 **Table 2.** Activation results of main effects of musical syntax and group, and simple effects of  
600 musicianship on classical and impressionist music processing (all alphasim corrected at  $p < 0.001$ ).

Regions(aal)	ClusterSize	Z	x(mm)	y(mm)	z(mm)
<b>Musical syntax main effect</b>					
Temporal_Sup_L	282	7.04	-51	5	-5
Temporal_Mid_L		3.95	-54	-10	-17
Hippocampus_L	74	3.58	-27	-19	-20
Frontal_Sup_Medial_L	18	4.22	-6	59	13
Frontal_Inf_Tri_L	16	4.45	-36	23	-2
Insula_L	5	4.40	-39	17	4
Frontal_Mid_L	25	4.64	-24	23	37
Postcentral_L		3.60	-54	-13	37
Supp_Motor_Area_L	571	4.00	-6	2	64
Cerebelum_6_L		4.32	-30	-67	-23
Frontal_Mid_R	34	4.10	30	41	43
Frontal_Inf_Tri_R		4.58	51	32	19
Frontal_Sup_R	186	3.80	27	-7	61
Postcentral_R	377	4.05	54	-19	37

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Pallidum_R	4	3.91	18	8	4
Cerebellum_Crus1_R	8	3.92	27	-85	-29

### Group main effect(musician>non-musician)

Cerebellum_Crus2_L	648	Inf	-12	-82	-32
Precentral_L	43	Inf	-21	-16	70
Parietal_Sup_L	37	6.41	-21	-67	40
Temporal_Mid_L	9	4.03	-63	-43	10
Temporal_Inf_L	34	7.51	-39	-43	-11
Frontal_Inf_Orb_L	5	4.74	-33	23	-11
Temporal_Inf_R	13	5.68	57	-46	-11
Frontal_Sup_R	6	7.20	15	47	22
Frontal_Inf_Orb_R	7	6.56	24	14	-11
Postcentral_R	8	6.20	48	-19	58
Cerebellum_6_R	12	5.80	24	-52	-26
Temporal_Pole_Sup_R	6	4.97	42	11	-20

### Classical syntax: musician>non-musician

Supp_Motor_Area_L	36	3.77	-6	17	46
Supp_Motor_Area_R		3.75	6	17	46
Frontal_Inf_Tri_R	8	3.41	45	20	4
Temporal_Sup_R	6	3.4	66	-22	4
Frontal_Sup_Medial_R		3.32	3	26	52

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### Classical syntax: non-musician>musician

Cingulum_Ant_L	29	4.42	-3	32	1
Cingulum_Ant_R		3.71	0	26	-5

### Impressionist syntax: musician>non-musician

Vermis_9	13	4.52	0	-58	-32
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### Impressionist syntax: non-musician>musician

Hippocampus_L	30	4.82	-30	-19	-20
Temporal_Mid_L	88	4.27	-51	-67	19
Frontal_Sup_L	17	3.57	-21	38	40
Postcentral_L	77	3.92	-57	-10	34
Frontal_Mid_R	29	3.88	27	29	34
Postcentral_R	76	4.33	54	-19	34

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